



**RSB**  
Roundtable on  
Sustainable Biomaterials  
[www.rsb.org](http://www.rsb.org)



**CONTROLUNION**

## Public Summary – RSB audit

### Hanwa Co.,Ltd.

**Certificate Number:** CUC/RSB/ 871291/ 566724

**Ginza Shochiku Square,  
1-13-1, Tsukiji, Chuo-ku,  
Tokyo, Japan**

CERTIFIED	EXPIRATION
19-01-2021	18-01-2026

DATE(S) OF AUDIT
8, and 12/1/2021
date of last update
13/1/2021

Control Union Certifications, Meeuwenlaan 4-6,  
8011 BZ, Zwolle, The Netherlands  
0031 (0) 38 426 0100  
[certification@controlunion.com](mailto:certification@controlunion.com)  
[certification.controlunion.com](http://certification.controlunion.com)

## FOREWORD

### **Methodology**

Control Union Certifications (CUC), is an international inspection and certification Audit and certification is carried out in conformity with the procedures as laid down Based on the information provided by the auditor and by the client, the certifier reviews and evaluates all information provided and certifies the products when all conditions of the regulations are fulfilled. The result of the evaluation is documented in this report and the applicable Annexes. Audit work by the auditor and certification by the certifier are clearly separated activities.

### **Report**

This report is the result of the findings of a certification evaluation carried out by an independent lead auditor or team of experts representing Control Union Certifications. The purpose of the assessment was to evaluate the compliance of the client with respect to the standards used within the scope of the certificate. The report is made in accordance with the latest version of standard RSB-PRO-70-01. The full report can only be reviewed by authorized Control Union and RSB staff.

In case of any complaint or appeal with respect to findings and certification decisions taken by CUC, a dispute protocol and form is available on the CUC website:

<http://certifications.controlunion.com/publications> and can also be provided on request.

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## SECTION A – PUBLIC SUMMARY

### 1.0 GENERAL INFORMATION

#### 1.1 Operator Information

##### 1.1.1 Name and Contact Information

<b>Organization name</b>	Hanwa Co.,Ltd.		
<b>Contact person</b>	Ms. Hinako Yoshida		
<b>Operator Number</b>	2167	<b>CUC PRJ number</b>	871291
<b>Address</b>	Ginza Shochiku Square, 1-13-1, Tsukiji, Chuo-ku, Tokyo, Japan	<b>Telephone</b>	81-3-3544-2140
		<b>Fax</b>	81-3-3544-2250
		<b>e-mail</b>	<a href="mailto:yoshida-hinako@hanwa.co.jp">yoshida-hinako@hanwa.co.jp</a>
		<b>Website</b>	<a href="https://www.hanwa.co.jp/en/">https://www.hanwa.co.jp/en/</a>

##### 1.1.2 Additional Parties Involved

Is not included (Confidential)
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#### 1.2 Scope of Certificate

Please select audit:		
<input type="checkbox"/> RSB EU RED	<input type="checkbox"/> RSB Global	<input checked="" type="checkbox"/> RSB Japan Fit
Please select boxes that apply:		
<input type="checkbox"/> Pre-assessment	<input type="checkbox"/> 1st Annual Surveillance	<input type="checkbox"/> 1st Annual Surveillance
<input checked="" type="checkbox"/> Initial Assessment	<input type="checkbox"/> 2nd Annual Surveillance	<input type="checkbox"/> 2nd Annual Surveillance
<input type="checkbox"/> Re-certification	<input type="checkbox"/> 3rd Annual Surveillance	<input type="checkbox"/> 3rd Annual Surveillance
<input type="checkbox"/> Follow-Up to NCs	<input type="checkbox"/> 4th Annual Surveillance	<input type="checkbox"/> 4th Annual Surveillance

CERTIFICATION SCOPE	
Products: PKS Sites: Hanwa Co.,Ltd. Tokyo Head office, Tokuyama Kairiku Unso Co.,Ltd. T-8 Stockpile, Tokuyama Kairiku Unso Co.,Ltd. Higashi Harumi Stockpile Applicable certification scheme: RSB Japan FIT	
Please select boxes that apply:	<input type="checkbox"/> Biomass producer
	<input type="checkbox"/> Point of Origin
	<input type="checkbox"/> First collector
	<input type="checkbox"/> Industrial operator
	<input type="checkbox"/> Mechanical operator
	<input checked="" type="checkbox"/> Trader
The scope assessment agrees with the scope under which the operator applied	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
If no, please explain:	The PO has another storage facility (third party contractor) which is currently out of scope due to the pandemic of COVID-19. The PO is planning to extend the scope as soon as possible.
<i>Note: If the scope is different, please contact CU.</i>	

Participating site I	
Name	Tokuyama Kairiku Unso K.K. T-8 Stockpile
Address	9 Harumicho, Shunan City, Yamaguchi, Japan

Participating site II	
Name	Tokuyama Kairiku Unso K.,K. Higashi Harumi Stockpile
Address	7-33, Harumi-cho, Shunan City, Yamaguchi, Japan

Description of activities	
	Purchasing and selling PKS. The goods are stored at the participating sites I and II, and shipped to buyer's place by trucks or vessels.
<b>Annual throughput of previous 12 months is not included (Confidential)</b>	
<b>if not confidential fill in below</b>	
Feedstock input in mt	Confidential
Final/primary product output in mt	Confidential
Intermediate/by-product output in mt	Confidential
% output in yield (total output/total output)	Confidential
Amount sold as RSB certified in mt	Confidential
GHG CO <sub>2</sub> eq/dry ton	Confidential

### 1.3 Standards Used

#### 1.3.1 Applicable RSB-Accredited Standards

Title	Version
RSB Procedure for Participating Operators	RSB-PRO-30-001
Procedure for Traceability	RSB-PRO-20-001
Procedure on Communications and Claims	RSB-PRO-50-001
RSB Procedure for Risk Management	RSB-PRO-60-001
RSB GHG Calculation Methodology	RSB-STD-01-003-01
RSB Advanced Fuels Standard	RSB-STD-01-010
RSB Japan FIT Standard	RSB-STD-13-001

All standards employed are available on the websites of the Roundtable on Sustainable Biomaterials ([RSB Procedures & Standards Archives](#) | [RSB](#))

## 2.0 EVALUATION PLANNING & PROCESS

### 2.1 Audit Type and Determination

The following table summarizes the audit types for RSB Audits:

	Low risk class	Medium risk class	High risk class
Certificate validity	5 years	3 years	2 year
Main audit	Every 5 years	Every 3 years	Every 2 year
Surveillance audit	Annual	Annual	Annual

### 2.2 Audit Team

#### 2.2.1 Audit Team

<b>Names auditteam:</b>	TOMOZAWA Morihiko	<b>Auditor role:</b>	Lead auditor
<b>Qualifications:</b> qualified as RSB Lead Auditor, RSPO SCC, FSC CoC, PEFC CoC			

### 2.3 Evaluation Schedule and Extent of Audit

#### 2.3.1 Determination of Extent of Audit

Total number of subsidiaries, branch offices, affiliated entities, external third parties contracted or otherwise engaged, operational structures, sites, facilities, processing and production units, and supply chain structures	One third party contractor, relating to the two storage facilities.
Participating Operator Risk Class	Medium Risk (Main Assessment)
Disputes or prior Non-compliances	NA
Changes in scope since last evaluation	NA
Total number of compliance claims	NA

#### 2.3.2 Activities Duration and justification audit

Duration and justification audit	
<b>Audit Duration (in hours):</b>	<b>Participating site I: 4 hours</b> <b>Participating site II: 1 hours (only site visit, all relevant documents are evaluated at site I.)</b> <b>Participating Operator (Hanwa Co Ltd.) : 4 hours</b>

Description of the evaluation / evaluation	
Operation(s)/ sites visited	Activities/ notes
Hanwa Co.,Ltd.	Administration, trading (purchasing and selling), inventory control, Risk management, CoC management, Grievance management
Tokuyama Kairiku Unso K.K. T-8 Stockpile	Receiving PKS from vessels, storing, shipping (to the other stockpile, or to buyers by trucks), controlling
Tokuyama Kairiku Unso K.K. Higashi Harumi stockpile	Storage, shipping by domestic vessels

People interviewed
<p>8/1/2021 Mr. Ryo Morita (Tokuyama Kairiku Unso K.K.), Ms. Momoka Watanabe (Tokuyama Kairiku Unso K.K.), Mr. Kenji Kakehashi (Tokuyama Kairiku Unso K.K.)</p> <p>12/1/2021 Hanwa Co.,Ltd. Ms. Hinako Yoshida, Mr. Cheulsoo Park, Ms. Aya Kuramochi, Mr. Keisuke Tanabe (RSB management representative)</p>

Action taken by the organization prior to (re)issue of certificate
N/A

## 2.4 Stakeholder Consultation Process

In accordance with CUC and RSB protocols, consultation with key stakeholders is an integral component of the evaluation process. Stakeholder consultation takes place prior to, concurrent with, and following field evaluations. The primary purpose of such consultation is to solicit input from affected parties as to the strengths and weaknesses of the Participating Operator’s management system and operations, relative to the standard, and the nature of the interaction between the company and the surrounding communities.

Principal stakeholder groups are identified based upon the certification scope of the participating operator.

Stakeholder consultation activities are organized according to the requirements of the RSB. The table below summarizes the major comments received from stakeholders and the assessment team’s response. Where a stakeholder comment has triggered a subsequent investigation during the evaluation, the corresponding follow-up action and conclusions from CUC are noted below.



### 3.0 RISK ASSESSMENT RESULTS

CUC Risk Assessment Results	0	Medium
Participation Operator Assessment Results	0	Low
Deviations and Risk Factor Difference	0	
Final risk class	<input checked="" type="checkbox"/> Low <input type="checkbox"/> Medium <input type="checkbox"/> High	

Due to the results of the Risk Assessment, the evaluation did not need to be rescheduled.

### 4.0 RESULTS OF THE EVALUATION

#### 4.1 Process of Determining Compliance

##### 4.1.1 Non-Compliance

Non-compliance: failure to comply with one or more requirements specified in the RSB standards and/or the RSB certification systems

##### 4.1.2 Evaluation of Non-conformities

CUC shall monitor and evaluate all actions taken by the participating operator to address non-compliances finalization of the evaluation report CUC shall evaluate at minimum those aspects of the operation(s) of

CUC shall ensure that recommendations to close major non-compliances, and whether major non-compliances were corrected, rectified or otherwise brought into compliance with the RSB standards and the RSB certification systems, together with the respective certification reports are submitted to peer review and duly decided by its certification decision entity.

##### 4.1.3 Identified non-conformities during present evaluation

Non-compliance (NCs) identified during this evaluation are listed below. In case the organization has Each element (requirement) of the Standard is evaluated by means of a checklist. Please refer to the annexed checklists for details.

##### 4.1.4 Assessment of non-compliance raised during and after previous audit report

Check if section is not applicable, i.e. it concerns a main assessment/initial audit, or no NCs were raised Below an overview is given of the actions taken by the client/certificate holder to correct any NC's. For each NC a description of its current status is given. In the case a minor NC has not been settled within 3 months the RSB certificate will be withdrawing

#### 4.1.5 Major Non-compliances

<input checked="" type="checkbox"/>	No major NCs were issued to the Operator during the evaluation. Any minor CARs from previous surveillance audits have been reviewed and closed prior to the issuance of a certificate.
<input type="checkbox"/>	Major NCs were issued to the Operator during the evaluation, which have all been closed to the satisfaction of the audit team and meet the requirements of the standards. Any minor NCs from previous surveillance audits have been reviewed and closed prior to the issuance of a certificate.
<input type="checkbox"/>	Major NCs were issued to the Operator during the evaluation and the Operator has not yet satisfactorily closed all major NCs.

#### 4.1.6 Non-compliances and Current Status

Instructions:

- Initial certification audit: list all Non-conformities and Opportunities for Improvement issued during this audit.
- Surveillance/Recertification: list status of minor NCs that were not closed in previous audit, then list new NCs/OFIs issued during this audit.

Summary of Non-compliances and Current Status	
Non- compliance Number	2021-01
Category	Minor
Relevant RSB Standard & Indicator No	RSB-PRO-60-001 v.3.2 1.3
Description of requirement	The Participating Operator shall provide the name and details of the management representative who has overall responsibility for developing and implementing the risk management approach.
Description of non-conformity	The name and details of the Risk management representative is not documented.
Status	Closed

Summary of Non-compliances and Current Status	
Non- compliance Number	2021-02
Category	Minor
Relevant RSB Standard & Indicator No	RSB-PRO-30-001 v.3.2 2.6
Description of requirement	Have systems in place for fair, equal and open management, and for settling disputes and complaints about the POs performance raised by staff or other people or organisations, in line with the RSB Grievance Procedure [RSB-PRO-65-001]. The PO shall record how they have managed and settled all disputes and complaints;

<b>Description of non-conformity</b>	The PO has a system to handle complaints in place. However, the PO does not have a documented procedure of their complaints handling system.
<b>Status</b>	Closed

## 5.0 CERTIFICATION DECISION

Certification Recommendation		
Operator to be awarded RSB certification subject to the minor non-compliances stated in Section 4.1.6.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	
The evaluation team makes the above recommendation for certification based on the full and proper execution of the CUC Responsible Biofuels Program evaluation protocols. If certification is recommended, the Operator has satisfactorily demonstrated the following without exception:		
Operator has closed any Major NC(s) assigned during the evaluation.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	
Operator has demonstrated that their system of management is capable of ensuring that all of the requirements of the applicable standards are met over the sites and facilities covered by the scope of the evaluation.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	
Operator has demonstrated that the described system of management is being implemented consistently over the sites and facilities covered by the scope of the certificate.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	
Comments and/or details of any issue which was difficult and/or impossible to evaluate: N.A.		
To be completed by Certification Decision-Making Entity	<b>Technical Review by:</b> If different to decision-maker	Glenn Feryn (CU RSB Certifier)
	<b>Certification decision:</b>	The Organization has been (re-)assessed by CUC according to the standard(s) described in Section 3.
	<b>Certification decision by:</b>	Glenn Feryn
	<b>Date of decision:</b> For initial or continued certification	19-01-2021
	<b>Surveillance schedule:</b>	Notes: surveillance audit within 12 months of the certification date